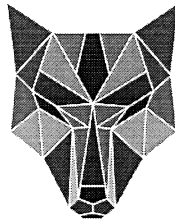


3rd floor, one on ninth
cnr glenhove road and 9th street
melrose estate, johannesburg, 2196
po box 72464, parkview, 2122
011 486 2850 (t)
david@swvginc.co.za
www.swvginc.co.za
registration no: 2017/297672/21



ATTORNEYS
SWVGINC
Swartz | Weil | Van der Merwe | Greenberg

YOUR REF :
OUR REF : **MR DAVID SWARTZ/hb**
DATE : **22 AUGUST 2025**

TO: WHOM IT CONCERN

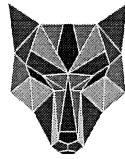
Dear Sirs

RE: COMPLISHIELD FINANCIAL GROUP (PTY) LTD

1. I act on behalf of **CompliShield Financial Group (Pty) Ltd, FSP No. 53590** (“CompliShield”).
2. I address this in regard to the current and ongoing unlawful conduct of Mr. Wesley Harrington and his numerous false, defamatory and fraudulent statements and allegations. Recently he has called into question my credentials, and my certificate of enrolment with the Legal Practice Council is attached to put pay to this falsehood. It is unfortunately, one of many.
3. The statements and allegations made against CompliShield by Mr Wesley Harrington are categorically denied. These allegations, published under the alias “FSCA Monitor” and through unauthorised electronic communications, **are false, misleading, defamatory and unlawful**. CompliShield has not engaged in any wrongdoing, regulatory breach, or misconduct and will prove as much through the correct and proper channels and in the appropriate forum.
4. I have advised my client to not engage further with Mr Harrington, and rather to allow the courts and authorities to decide on the allegations.
5. CompliShield reaffirms that it will **cooperate fully with any investigation by the FSCA or other authorities** and welcomes such investigations. My client remains confident in its strict compliance record and sees regulatory oversight as an opportunity to further demonstrate its integrity. In this regard:-

Chairman: David Swartz
Managing Director: Joshua Greenberg
Directors: Rachael Weil Chalmers | Etienne van der Merwe | Gabriella Hodes
Associates: Lauren Brabant | Jordan Dias

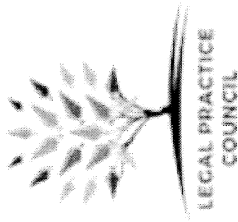
A handwritten signature in black ink, appearing to be a stylized name, possibly 'David Swartz', written in a cursive or semi-cursive style.



- 5.1. CompliShield is a duly licensed Financial Services Provider and has, at all times, complied with the Financial Advisory and Intermediary Services Act, the Financial Sector Regulation Act, and applicable subordinate legislation.
- 5.2. A duly appointed **Key Individual** has been approved and registered with the Financial Sector Conduct Authority.
- 5.3. All compliance functions are operational and monitored in accordance with regulatory obligations.
6. At this juncture we are proceeding with the following steps:-
 - 6.1. The impersonation of the FSCA and the dissemination of false content have been formally **reported to the FSCA**.
 - 6.2. Evidence of the unlawful conduct (videos, communications, screenshots) has been preserved.
 - 6.3. Both local and foreign enforcement and regulatory bodies have been engaged.
 - 6.4. Summonses are in the process of being served on Mr Harrington and his various entities.
7. While our client's rights are fully reserved, we remind the public of the constitutional principle of **presumption of innocence**, which applies until guilt is proven in a court of law. Our client will prove its innocence. CompliShield will continue to provide updates.

Yours faithfully

SWVG INC
PER: DAVID SWARTZ
CHAIRMAN



DESIGNATION
Attorney

LPC PRACTITIONER NUMBER
26636

NAME
David Saul Swartz



FIRM
**Swartz Weil Van Der Merwe Greenberg
Notaries And Conveyancers Inc.**

PRACTISING
Yes



Financial Sector
Conduct Authority

Riverwalk Office Park Block B
41 Matroosberg Road Ashlea Gardens
Extension 5, Pretoria 0181

Tel. +27 12 428 8000
Toll free. 0800 20 3722
Fax. +27 12 346 6941
Email. info@fsca.co.za
Website. www.fsca.co.za

ENQUIRIES:	ASHLEY EMILE OLIPHANT	D. DIALLING NO.:	(012) 428 8181
OUR REF:	26/10/53590	FAX:	(012) 422 2973
DATE:	21/08/2025	E-MAIL:	ashley.oliphant@fsca.co.za

Mr K Wides
Compleshield Financial Group (Pty) Ltd
Trade House
33 Impala Road
Chiselhurst, Gauteng
2196

By e-mail: kevinw@oakhavencap.co.za

Dear Sir,

APPLICATION FOR APPROVAL AS A KEY INDIVIDUAL IN TERMS OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 ("the Act")

1. Your letter dated 29 May 2025 refers.
2. Kindly be advised that Mr Grant Maurice Wides, with Identity Number 6803095058088, meets the fit and proper requirements and has been approved as a Key Individual in terms of Section 8(3) of the Act with effect from 21/08/2025.

Yours sincerely



Ms Fecity Mabaso

For the Financial Sector Conduct Authority

Executive Committee:

Commissioner: U. Kamlana | Deputy Commissioners: A. Ludin | K. Gibson | F. Badat



Financial Sector
Conduct Authority

Riverwalk Office Park Block B
41 Matroosberg Road Ashlea Gardens
Extension 6, Pretoria 0181

Tel. +27 12 428 8000
Toll free. 0800 20 3722
Fax. +27 12 346 6941
Email. info@fsca.co.za
Website. www.fsca.co.za

ENQUIRIES:	Marco Geraldo Swarts	D. DIALLING NO.:	(012) 428 8000
OUR REF:	26/10/53590	FAX:	(012) 422 2973
DATE:	15/01/2025	E-MAIL:	marco.swarts@fsca.co.za

Mr K Wides
Crypto Ex (Pty) Ltd
75 Maude Street
Sandton
2190

By e-mail: kevinw@oakhavencap.co.za

Dear Sir,

**APPLICATION FOR APPROVAL AS A KEY INDIVIDUAL IN TERMS OF THE FINANCIAL
ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (“the Act”)**

1. Your letter dated 05 December 2024 refers.
2. Kindly be advised that Mr Kevin Douglas Wides, with Identity Number 7001035052084, meets the fit and proper requirements and has been approved as a Key Individual in terms of Section 8(3) of the Act with effect from 15/01/2025.

Yours sincerely,



Ms Felicity Mabaso

For the Financial Sector Conduct Authority

Executive Committee:

Commissioner: U. Kamlana | Deputy Commissioners: A. Ludin | K. Gibson | F. Badat